

## **INSTRUCTIONS FOR SCORING AND DETERMINING PERFORMANCE**

### **RISK-BASED INSPECTION:**

To pass this section, the Candidate must achieve an average score of 90 percent (no more than 45 disagreements for all 8 establishments) with no more than 11 disagreements per establishment.

**STEP 1.** Determine the number of disagreements per establishment and record it in the chart (Performance Criteria Tally of Disagreements).

If the disagreements/establishment is  $<12$ , proceed to STEP 2.

If the disagreements/establishment is  $\geq 12$ , stop inspections. Candidate fails.

**STEP 2.** Total the number of disagreements on Interventions/Risk Factors for all the establishments.

If the disagreements are  $\leq 45$  for 8 establishments, the Candidate passes.

If the disagreements are  $>45$  for 8 establishments, the Candidate fails.

If the disagreements are  $\leq 33$  for 6 establishments, the Candidate passes.

If the disagreements are  $>33$  for 6 establishments, the Candidate fails.

### **GOOD RETAIL PRACTICES:**

To pass this section, the Candidate must achieve an average score of 85 percent (no more than 32 disagreements for all 8 establishments) and have no more than 5 disagreements on GRPs per establishment.

**STEP 1.** Determine the number of disagreements per establishment.

If the disagreements/establishment are  $<6$ , proceed to STEP 2.

If the disagreements/establishment are  $\geq 6$ , stop inspections. Candidate fails.

**STEP 2.** Total the number of disagreements on GRPs for all establishments.

If the disagreements are  $\leq 32$  for 8 establishments, the Candidate passes.

If the disagreements are  $> 32$  for 8 establishments, the Candidate fails.

If the disagreements are  $\leq 24$  for 6 establishments, the Candidate passes.

If the disagreements are  $> 24$  for 6 establishments, the Candidate fails.

**HACCP PRINCIPLES:** A “satisfactory” score is required to pass.

## SCORING FORM (EXAMPLE #1)

**Chart 3a: Sample Performance Criteria Tally of Disagreements in Each Establishment**

PERFORMANCE CRITERIA TALLY OF DISAGREEMENTS IN EACH ESTABLISHMENT (SAMPLE)									
Candidate's Name: Jane Smith						Standard's Name: George Harris			
ESTABLISHMENTS									
PERFORMANCE AREA	1	2	3	4	5	6	7	8	TOTAL (1-8) TOTAL (1-6)
Risk-Based Inspection	9	11	11	5	2	2	3	1	44
Good Retail Practices	3	2	2	5	3	3	2	2	22

In this example, the Candidate passes both the Risk-Based Inspection and the Good Retail Practices portions. The number of disagreements for any one establishment did not exceed the maximum and the total number of disagreements for all the establishments also did not exceed the maximum number.

## SCORING FORM (EXAMPLE #2)

**Chart 3b: Sample Performance Criteria Tally of Disagreements in Each Establishment**

PERFORMANCE CRITERIA TALLY OF DISAGREEMENTS IN EACH ESTABLISHMENT (SAMPLE)									
Candidate's Name: Jane Smith						Standard's Name: George Harris			
ESTABLISHMENTS									
PERFORMANCE AREA	1	2	3	4	5	6	7	8	TOTAL (1-8) TOTAL (1-6)
Risk-Based Inspection	11	11	12						
Good Retail Practices	6	5	4						

Here the Candidate fails the Risk Based Inspection portion of this exercise. The Candidate was close to the maximum number of disagreements for any one establishment in the first two facilities and exceeded this maximum number of disagreements in the third establishment.